The Variety of Spontaneously Generated 1 **Tropical Precipitation Patterns found in** 2 **APE Results** 3

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Abstract

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We examine the results of the Aqua-Planet Experiment Project (APE) 2 focusing mainly on the structure of equatorial precipitation in the subset of 3 participating models for which the details of model variables are available. 4 In spite of the unified set-up of the APE, the Hovmëllor plots of precipita-5 tion in the models exhibit wide range of diversity, presumably resulting from 6 the diversity among implementations of various physical processes. Never-7 theless, the wavenumber-frequency spectra of precipitation exhibit certain 8 degree of similarity; the power spectra can be divided into Kelvin, westward 9 inertio gravity, and "advective" components. The intensity of each of these 10 three components varies significantly among different models. The compos-11 ite spatial structures corresponding to the above three components are pro-12 duced by performing regression analysis with space-time filtered data. The 13 composite horizontal structures of the Kelvin and westward inertio gravity 14 components are similar among the models and resemble to those expected 15 from the corresponding equatorial shallow water wave modes. These resem-16 blances degrade at the altitude levels where the value of phase velocity is 17 near the zonal mean zonal wind speed. The horizontal structures of the 18 "advective" component diverge significantly among models. The composite 19 vertical structures are strongly model dependent for all of the three com-20 ponents. The comparison among vertical and horizontal structures of con-21

¹ vective and stratiform heating of the composite disturbances indicates that

- ² the diversity of vertical structures originates from the difference in physical
- ³ processes, especially, the implementation of cumulus parameterization.

¹ 1. Introduction

Convective activity in the earth's tropical atmosphere is recognized to 2 exhibit a hierarchical structure including individual cumulonimbi, mesoscale 3 features, cloud clusters (Houze and Betts 1981), various kinds of synoptic 4 scale disturbances such as convectively coupled equatorial waves (Kiladis 5 et al. 2009), intraseasonal variability (ISV) (Madden and Julian 1972), 6 and climatological features like the intertropical convergence zone (ITCZ) 7 or the convection centers. Each of the classes in the hierarchy has unique 8 importance in the role, for example, in the maintenance of the climate 9 system (Sherwood et al. 2010), in predictability issues of numerical weather 10 prediction, and in severe meteorological phenomena central to the disaster 11 prevention. Reproduction and understanding of the hierarchy of convective 12 activity is thus one of the most important theme of tropical meteorology. 13

In our efforts to capture the hierarchical structure, there remains a large 14 degree of difficulty. The most obvious difficulty is its extremely wide range 15 of spatial and temporal scales; there is four orders of magnitude difference 16 from the smallest member, individual cumulonimbi having 1–10 km scale, 17 to the largest member, ISV and ITCZ having a global scale. If we wish 18 to simulate whole of the hierarchical structure explicitly, we have to run a 19 global cumulus resolving model; its execution requires huge computational 20 resources (Tao and Moncrieff 2009). Up to present, only a very limited 21

number of such explicit calculations have been accomplished (Satoh et al.
2008). Other than such explicit simulations, any kinds of global models are,
more or less, compromised to incorporate the effects of the smaller classes of
the hierarchy, i.e., cumulonimbi and mesoscale systems. The most common
way of compromise has been to employ cumulus parameterization, although
there are a few exceptional attempts to avoid cumulus parameterization by
using "distorted" dynamical equations (Kuang et al. 2005).

Although it is true that computational resources are rapidly develop-8 ing, a certain level of cumulus parameterization is considered to remain in 9 global models at least for long term simulations like those for the projection 10 of possible global warming. And hence, the knowledge on the performance 11 of numerical models employing cumulus parameterizations in the reproduc-12 tion of the tropical convection hierarchy remains important in some unfore-13 seeable period in the future. At present, there are not small number of 14 cumulus parameterization used in operational or community atmospheric 15 models including adjustment type schemes (Manabe et al. 1965), mass flux 16 type schemes (Tiedtke 1989), and schemes employing ensemble of cumulus 17 (Arakawa and Schubert 1974). In spite that a cumulus parameterization 18 scheme is highly tuned to reproduce the behavior of the real atmosphere 19 when used in an atmospheric model, it has been known that the properties 20 of tropical atmospheric convection represented in numerical models exhibit 21

wide variety among models, and it is still agreed that no single specific
parameterization scheme can be nominated as the one that is the most
suitable for reproducing the reality. We have to examine how and why
various models behave differently by comparing the results with such models in a common setup as an inter comparison project such as Atmospheric
Model Intercomparison Project (AMIP) or Coupled Model Intercomparison
Project (CMIP).

The Aqua-Planet Experiment Project (APE) is an attempt to compare 8 the behavior of modern sophisticated numerical models used for numeri-9 cal weather prediction or climate simulation in the simplest set-up of the 10 "aqua-planet", i.e. a virtual planet wholly covered with ocean of fixed sur-11 face temperature. The context and aim of the APE are fully discussed in 12 Blackburn and Hoskins (2012), where the history and the position of ide-13 alized AGCMs (atmospheric general circulation model) experiments in the 14 framework of atmospheric research in general are also stated. The setup of 15 aqua-planet was first employed purposefully by Hayashi and Sumi (1986) 16 in order to find the "natural" behavior of tropical atmospheric convection. 17 They succeeded in identifying the hierarchy, or its substitute in low resolu-18 tion model employing cumulus parameterization, that includes cloud clus-19 ters, super cloud clusters, ISV, tropical cyclones and double ITCZ. One 20 might regard this setup is trivial or easy one because it is free from com-21

plex treatment of land surface and associated hydrology and/or vegetation 1 schemes. However, it presents a unique and difficult challenge to AGCMs; 2 being free from the external forcing provided from the inhomogeneity of 3 underlying surface, the model atmosphere have to determine its behavior 4 by itself, and hence both of the strength and the weakness of models are 5 exposed clearly. In fact, as early as at the beginning of 1990's, it has been 6 clarified that the choice of cumulus parameterization strongly affects several 7 fundamental properties of AGCM such as the behaviors of tropical distur-8 bances (Numaguti and Hayashi 1991a) or the structure of ITCZ (Numaguti 9 and Hayashi 1991b). 10

The present paper describes the behavior of equatorial precipitation 11 structures in CONTROL experiments conducted in the APE (Neale and 12 Hoskins 2000). Among the series of classes of the hierarchical structure of 13 tropical precipitation convection, we will focus our attention to the "inter-14 mediate" scale structure, i.e., convectively coupled equatorial waves (Kiladis 15 et al. 2009), because of the following reasons in particular. The first reason, 16 which is the most trivial, is that the smaller classes, i.e., individual cumu-17 lonimbi and mesoscale systems, are below the resolvable scales of most of 18 the AGCMs participating in the APE. The second reason, which is also 19 trivial, is that the larger classes, i.e., ISV, the convection centers and the 20 ITCZs, are presumably strongly affected by the present idealized, unreal-21

istic setup of aqua-planet. We should suspect that the behaviors of the 1 models by themselves are unknown. It might be possible that the mecha-2 nism governing the ISV, if exist, obtained in the present setup is different 3 from that of the ISV in the real atmosphere. The larger scale features 4 should be examined from a wider perspective elsewhere (see, for instance, 5 (Nakajima et al. 2011)). The third reason, which is the most important, 6 is that, as will be shown later, the behaviors of convectively coupled waves 7 in the models in the APE display rich variety possibly depending on the 8 choice of cumulus parameterization employed. The examination of variety 9 of the properties of convectively coupled equatorial waves (CCEWs) in the 10 APE should enhance our knowledge on the underlying mechanism govern-11 ing the CCEWs in coarse resolution AGCMs, which would lead us to the 12 guiding principles on how to tune cumulus parameterization so as to better 13 represent the behavior of the real atmosphere. 14

Among the CCEWs, we further confine our attention to Kelvin waves, equatorially symmetric westward gravity waves, and disturbances presumably advected westward by the background wind. These categories of CCEWs partially overlap with those examined in the wavenumber-frequency spectral analysis of observational data by Wheeler and Kiladis (1999). In other words, we exclude equatorial Rossby waves with especially large longitudinal scales and all of the equatorially asymmetric waves from our at-

tention. In these disturbances, divergence is absent or weak at the equator 1 (Yang et al. ,2007a). Consequently, it is expected that they are not strong 2 in the experiments with CONTROL SST, where the distribution of SST has 3 a rather sharp peak at the equator and the ITCZs are mostly confined to 4 the equator (Blackburn *et al.*, 2012a). The properties of these disturbances 5 should be examined elsewhere including the comparative analysis of the ex-6 periments with the other profiles of SST, two of which have more broad 7 peak profiles. 8

The present paper is organized as follows. Section 2 will explain the 9 setup of experiment. Because details of the APE project are given else-10 where (Blackburn and Hoskins, 2011), only brief summary will be presented. 11 Section 3 will present the methods of analysis. Section 4 will compare gross 12 feature of CCEWs in the APE models. Section 5 will compare the compos-13 ite structure of three categories of CCEWs produced from the regression 14 analysis of spectrally filtered time series from the several selected models. 15 Discussions and conclusions will be given in the last two sections. 16

¹⁷ 2. Setup of Experiments

The experiments to be examined in this paper is the CONTROL case of the APE. As for the details not touched here, readers are referred to the context paper (Blackburn and Hoskions 2012) or the original proposal paper (Neale and Hoskins 2000). The SST distribution is zonally uniform and fixed
in time. The meridional structure is shown in Fig. 1. The SST profile is
characterized with a rather sharp single peak located at the equator and
north-south symmetric. The latitudinal gradient is steep from subtropics
to midlatitude, whereas it flattens in high latitude region. Reflecting this
character, climatological subtropical and mid-latitude jets effectively merge
to form a single very strong jet located in subtropics.

In the APE archive, the results of 17 AGCM runs from 15 groups are 8 accumulated. A brief summary of the specification of the models is given 9 in Table 1. Among these, 7 groups provided more detailed time series on 10 additional model variables for 8 runs, from which we obtain the composite 11 structures as presented later. It is worth mentioning that even the subgroup 12 for which composite analysis is performed contains a wide variety of spatial 13 resolutions and cumulus parameterizations. More complete specifications 14 are given in the APE-ATLAS (Williamson et al. 2011) to which readers are 15 referred to. 16

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Fig.	1]

¹ 3. Methods of analysis

2 3.1 Data

The data used in this study are the 6-hourly one year time series ("TR") 3 of CONTROL experiments and the "additional transient time series" con-4 taining multilevel model variables of the following seven AGCM runs, AGU-5 for APE, CSIRO_std, ECMWF05, ECMWF07, GSFC, LASG, and NCAR. 6 In the present paper, we mainly examine the latter data. The former con-7 tain model variables on very limited model levels, and are only consulted 8 in order to check the representativeness of the seven model runs focused in 9 this study among all of the AGCM runs. The variables we examined are 10 zonal wind, meridional wind, vertical velocity, temperature, geopotential 11 height, specific humidity, and, precipitation flux. In addition, temperature 12 tendency due to parameterized convective process and that due to resolved 13 condensation are used in the composite analysis of disturbances. Note that 14 data for temperature tendency terms of CSIRO_std and resolved condensa-15 tion of LASG are missing. 16

¹⁷ 3.2 Hovmëllor plots and wavenumber-frequency spectra

In section 4, we show plots of time evolution ("Hovmëllor" plots) and wavenumber-frequency spectra of precipitation along the equator. For the

models that do not have grid points on the equator, the averaged data of 1 the two grid points nearest to the equator of the both hemispheres are used 2 instead. Wavenumber-frequency spectra are obtained by the following pro-3 cedures. (i) From the original 1-year time series of each model run, ten time 4 series of the period of 90-days which begin at every 30 days from the begin-5 ning of the year are extracted. (ii) From each of the 90-day segment, linear 6 trend, which is estimated using least square fit, is subtracted. (iii) Double 7 Fourier transform is executed to obtain wavenumber-frequency spectrum of 8 each of the segments. (iv) All of these wavenumber-frequency spectra of 9 the ten 90-day segments are averaged to obtain the final estimate of the 10 wavenumber-frequency spectrum of precipitation of each model. 11

In addition to the wavenumber-frequency spectra, we present the "en-12 hanced" power spectra of the meridionally symmetric component of precip-13 itation within 5 degree latitudes around the equator. The method to obtain 14 the enhanced spectra basically follows that used in Wheeler and Kiladis 15 (1999). (i) Time series of north-south symmetric component of precipita-16 tion is made for each latitude. (ii) Wavenumber-frequency spectra of this 17 time series is produced in the same way as explained in the previous para-18 graph. (iii) Thus obtained power spectra for all latitudes within 5 degrees 19 from the equator are averaged. (iv) The averaged spectra are divided by 20 their "background" spectra which are obtained by applying 1-2-1 smoothing 21

¹ 40 times in wavenumber and frequency space.

² 3.3 Wave-type filtering

In section 5, we examine the structures of precipitation disturbances 3 at the equator distinguishing the types of relevant equatorial disturbances. 4 The method of separation basically follows that in Wheeler *et al.* (2000). 5 We focus three types of convectively coupled equatorial disturbances; Kelvin 6 (n=-1), westward inertio gravity (n=1), and "advective" components (here-7 after these three components are referred to as K component, WIG compo-8 nent, and AD component, respectively). The last one has been referred to as 9 "TD-type" component in Wheeler and Kiladis (1999). In the wavenumber-10 frequency domain of TD-type component, Yang et al. (2007a) identified 11 equatorial Rossby waves modified by the Doppler effect due to easterly 12 basic flow. However, the ITCZs appearing in the CONTROL experiment 13 in most models are sharply concentrated at the equator (Blackburn et al. 14 2012a), so that the disturbances in the wavenumber-frequency domain cor-15 responding to TD-type or "Doppler shifted Rossby waves" do not necessarily 16 accompany vorticity. Association of vorticity is an indispensable character 17 of tropical depressions (TD) or Rossby waves. From this reason, we choose 18 the name of "advective component" instead. 19

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The procedure for isolating each of the three types of components again

basically follows that of Wheeler et al. (2000). (i) We perform double 1 Fourier transformation of the three dimensional time series of the variables 2 to be analyzed in longitude and time. (ii) We adapt the wavenumber-3 frequency spectral coefficients to those corresponding to the three types of 4 disturbances by passing through the wavenumber frequency domains whose 5 specifications are described below. (iii) We perform inverse double Fourier 6 transformation of the filtered wavenumber frequency coefficients to obtain 7 the three dimensional time series of variables representing each of the three 8 types of disturbances. The definitions of the filters for the three disturbance 9 types are shown in Fig. 2. 10

The range of equivalent depth associated with the filter for K compo-11 nent is broader than that in Wheeler and Kiladis (1999) where the range 12 between 8m and 50m is employed. By the present choice, we intend to cover 13 the wide variety of signals along around the Kelvin wave dispersion curves 14 appearing in the various APE runs. In each of the APE runs, however, the 15 range of the equivalent depth of its dominant K component is much nar-16 rower, as will be presented later. The domain of AD component is chosen 17 considering following constraints. First, the lower bound of the westward 18 propagating zonal wavenumber is selected to be four so as to avoid possible 19 "contamination" by the disturbances of the type of planetary scale Rossby 20 waves. Second, the upper bound of the frequency is set be 0.5 d^{-1} so as 21

to avoid the overlap with WIG component. Third, the lower and upper
bounds of characteristic velocity are selected to be 2.5 m/s and 12 m/s,
respectively, so as to cover wide variety of possible disturbances that will
fall in the category of "advective component" appearing in the various APE
runs. The domain for WIG component follows that used in Wheeler and
Kiladis (1999).

7 3.4 Composite structure

In Section 5, we present composite structure of K, WIG, and AD com-8 ponents along equator appearing in each of the seven AGCM runs. The 9 composite structure is obtained by performing (simultaneous) regression 10 analysis of the time series of model variables filtered through one of K, 11 WIG or AD filters described in the previous subsection. Thanks to the 12 idealized zonally symmetric configuration of the CONTROL experiment of 13 the APE, the procedure of regression is quite simple. We extract a time 14 series of a filtered model variable (*predictand*) at a height and a latitude, 15 and shift the extracted data longitudinally by a certain zonal length, and 16 calculate the slope of linear regression of the shifted time-longitude data 17 against filtered precipitation at the equator. By repeating this procedure 18 for all latitudes, heights, and zonal shift lengths, we can obtain the com-19 posite three-dimensional structure of the model variable for the disturbance 20

of the filter used. We will not perform the lagged regression analysis, but
averaged temporal evolution of traveling disturbances is, to some extent,
expected to be captured as the zonal structure of the simultaneous composite. The details of the temporal evolution may be of interest, but it is left
for future research.

It should be borne in mind that the magnitude of the regression slope 6 of a particular variable at certain position for a particular model does not 7 necessarily represent the intensity of the model variable actually realized in 8 the model; it depends on the intensity of the filtered rain rate along the 9 equator realized in the model, which varies significantly on different models 10 as will be shown shortly below. The units of the regression slope are the 11 units of the predict and per unit rain rate. However, for convenience, we 12 multiply the values of the regression slope by a normalization intensity of 13 precipitation, which is 0.0001 $[kg \cdot s^{-1} \cdot m^{-2}]$, and represent all predict and 14 with their original units. 15

Fig. 2

¹ 4. Behavior of equatorial precipitation in the APE ² models

3 4.1 Hovmëllor plots of equatorial precipitation

Temporal evolution of precipitation at the equator of each model is 4 shown in Fig. 3, where one can find quite a wide variety of representations 5 of the hierarchical structure of equatorial precipitation among the different 6 models. The calculated equatorial precipitation features seem to depend on 7 both of the physical processes and the spatial resolution. For example, the 8 higher resolution models such as DWD, ECMWF, FRCGC, CSIRO exhibit 9 fine spatial structures, which cannot be observed in the lower resolution 10 models, such as AGUforAPE, CGAM etc. The results of ECMWF_05 and 11 ECMWF_07 are interesting. They have the same resolution but slightly 12 different cumulus parameterizations, and show considerably different be-13 haviors. The variety exemplified by the APE models is so widespread that 14 it is difficult to describe meaningfully how the behavior of one model differs 15 from that of another. So we only point out several noteworthy features. 16

In some models, eastward propagating planetary scale signals, whose propagation speeds are not very different from that of ISV in the real atmosphere (Madden and Julian 1994), are notable but with different intensity. FRCGC, i.e., NICAM run shows the most prominent eastward propagating

Fig.	3
Fig.	4
Fig.	5

signal as was described in Miura et al. (2005) and Nasuno et al. (2008). 1 It is also evident in the results of K1Japan, two versions of UKMO, and 2 two versions of ECMWF, but the intensity or detailed structures differ con-3 siderably. On the other hand, such eastward propagating low wavenumber 4 signal is weak or absent in AGUforAPE, NCAR, and CISRO-old. In spite 5 that these models are common in lacking notable eastward propagating sig-6 nal, they differ significantly; precipitation in NCAR is generally weak and 7 rather uniform, whereas that in CISRO-old is generally intense, and that in 8 AGUforAPE is organized in westward propagating structures. 9

If we focus on smaller scale features, precipitation occurs near the "grid 10 scale", i.e. nearly the smallest scale resolvable in all models in general. 11 However, the behavior of grid scale precipitation varies significantly. The 12 life time of such grid-scale precipitation varies among models ranging from 13 about one day to nearly ten days. Moreover, the direction of migration of 14 those grid scale precipitation structures also differ among models; those in 15 AGUforAPE and MIT move generally westward, those in ECMWF05 and 16 GFDL are nearly stationary, and those in UKMO, K1JAPAN, ECMWF07, 17 DWD, and CSIRO move generally eastward. 18

1 4.2 Wavenumber-frequency spectra of precipitation

In contrast to the extremely rich variety in the appearance of equato-2 rial precipitation in longitude time plot, the wavenumber-frequency spectra 3 of the equatorial precipitation of 17 model runs (Fig. 4) exhibit some de-4 gree of similarity. The most common feature is the eastward propagating 5 signal. In most models, the dominant power of the eastward propagating 6 signals is distributed mainly along respective dispersion relation of equa-7 torial Kelvin wave mode, although the intensity, characteristic equivalent 8 depth, and dominant zonal wavenumber differ among the models. The iden-9 tification of these signals as the equatorial Kelvin wave type is supported 10 by the composite analysis of its spatial structure, which will be shown later. 11 The eastward propagating signal in NCAR is, however, somewhat differ-12 ent from those in other models; the dominant wavenumber, 5-10, is much 13 larger than those in other models, 1–5. Moreover, the strong power seems 14 to be distributed along the dispersion curve of n=1 eastward inertio grav-15 ity wave mode. Strangely, the wavenumber-frequency spectrum of mid-16 tropospheric vertical velocity (not shown) exhibits much weaker wavenum-17 ber dependence, so that the ratio of the intensity of precipitation to the 18 intensity of vertical velocity, which might be interpreted as the gross sensi-19 tivity of the response of the latent heating to the grid scale ascent, strongly 20 depends on wavenumber; precipitation is much more sensitive to vertical 21

velocity in zonal wavenumber 5-10 than in zonal wavenumber 1-5. In the 1 results of other models, there are not such distinct variation of sensitivity, 2 and their magnitudes are more or less similar to that for the signal around 3 wavenumber 5–10 of NCAR. It should be also noted that the reduced sensi-4 tivity of precipitation to vertical velocity in NCAR is observed only near the 5 equator. This latitudinal dependence may be related to the latitudinal pro-6 file of ITCZ; NCAR is characterized with distinct "double ITCZ" structure, 7 but most of other models in the APE are characterized with "single ITCZ" 8 for the CONTROL SST profile. These evidences suggest that the eastward 9 propagating signals in NCAR bear some character of eastward propagating 10 inertio gravity wave with equivalent depth of about 12 m. However, as will 11 be shown later, its composite structure is not very different from that of 12 equatorial Kelvin wave. 13

In contrast to more or less common emergence of Kelvin wave type sig-14 nals, the intensity and the spreading of "background component" vary much 15 more drastically among the models. They reflect both the climatological 16 structure of ITCZ and the structure of precipitation events. As is described 17 in Blackburn *et al.* (2012a), the mean precipitation intensity at the equator 18 varies over a factor of 3 among the models, and, as will be shown in the 19 next section, the models with the larger mean precipitation intensity exhibit 20 the larger power of over-all variance of precipitation. The wavenumber and 21

frequency bandwidths are, from the definition of Fourier components, related to the degree of concentration of precipitation in the real space. More
widespread background component found in DWD, ECMWF05, LASG, and
FRCGC reflect more concentrated grid-scale precipitation structures as is
recognized in Fig. 3.

It is interesting that, in most models, westward component extends to the higher frequency than eastward component does. Yang *et al.* (2009) indicate that similar feature of wavenumber-frequency spectrum of precipitation is found in Hadley center models and the observation of real atmosphere. The Doppler effect due to low level background easterly wind may be the origin of the east-west asymmetry, but further study is required to clarify the issue.

Intricate features can be seen more clearly in Fig. 5, where the sig-13 nal enhancing technique of Wheeler and Kiladis (1999) is employed on 14 those wavenumber-frequency spectra. The westward propagating back-15 ground component are divided into two components. One is called, fol-16 lowing the notation of Wheeler and Kiladis (1999) used for observed OLR 17 (outgoing longwave radiation), "inertio gravity wave', or WIG, component 18 whose signals are found in the region along dispersion curves of westward 19 inertio gravity waves. The other is called "advective" (AD) component in 20 this paper because they are generally distributed around straight lines pass-21

ing through the origin in the wavenumber-frequency space, which indicates
that disturbances are advected by background easterly winds. However,
the actual relationship between the propagation speed of AD component
and mean zonal wind is not straight forward as will be discussed in Section
6.1(c).

The behaviors of WIG components exhibit significant variety among 6 models, although to a smaller degree than for those of AD components. 7 In AGUforAPE and CGAM, the WIG signal is very weak, while it is dis-8 tinct in LASG and K1JAPAN. In GSFC, the WIG signal is apparent in 9 the enhanced power spectrum (Fig.5(j)), although the absolute intensity is 10 not large (Fig.4(j)). Note that not only the intensity but also the distribu-11 tion varies over the wavenumber-frequency space; the signals cover a wide 12 range of wavenumber in LASG (Fig.4(l)) and K1JAPAN (Fig.4(k)), while 13 the higher wavenumber signals can be noted in GSFC (Fig.4(j)). It is also 14 worth noting that there is a gradual change of the characteristic equiva-15 lent depth of WIG component as wavenumber varies; WIG component of 16 the larger scale tend to have the shallower equivalent depth. The most 17 clear example is LASG (Fig.5(1)). This tendency suggests that the strength 18 of coupling between convective heating and large scale convergence asso-19 ciated with WIG component might depend on the characteristic period of 20 disturbances and result in the varying degree of "reduced stability" effect 21

¹ discussed by Gill (1982).

Because of the idealistic and clean setup of the APE project, one can 2 easily recognize several types of planetary scale disturbances other than the 3 convectively coupled equatorial waves and advective signals. One is the 4 quasi-stationary wavenumber five signal. Most prominent example can be 5 found in the result of NCAR (Fig4(o), Fig5(o)). Together with the ten-6 day period wavenumber six component nearby, it seems to be associated 7 with the midlatitude baroclinically unstable waves like those examined by 8 Zappa *et al.* (2011). Another example is the clear appearance of diurnal 9 and semi-diurnal migrating tides (Woolnough et al. 2004). Additionally, we 10 can find several types of normal mode waves which include the counterparts 11 of those observed in the real atmosphere such as the 33-h Kelvin wave of 12 Matthews and Madden (2000) and the n = 0 mixed-Rossby gravity mode 13 and the n > 1 Rossby modes of Hendon and Wheeler (2008). These features 14 are only marginally identifiable in the wavenumber-frequency spectra of 15 precipitation, but are more easily confirmed in the spectra of zonal wind or 16 surface pressure (not shown here). Among these waves, the representation 17 of the 33-h Kelvin wave is found to be sensitive to the vertical resolution 18 and/or the upper boundary conditions of the model, whereas that of other 19 types of planetary scale disturbances mentioned above is less sensitive. The 20 description of those waves is left for future research. 21

In many of the experiments, tidal signals significantly modulate the sig-1 nals of tropical precipitation associated with the Kelvin or AD component 2 significantly. Such modulation results in high frequency, low wavenumber 3 component that sometimes overlaps the wavenumber-frequency domain of 4 WIG and/or the region of eastward inertio gravity wave modes. Most clear 5 example that the modulation of K component can be observed is that of 6 UKMO (fig. 5(p,q)); the signals going through (wavenumber, frequency) 7 = (-5, 0.9) and (-10, 0.6) in the wavenumber-frequency domain of WIG 8 component are the projection images of the modulation of those for K com-9 ponent. 10

¹¹ 5. Spectral filtering analysis

As described in the previous section, there is a prominent variety in 12 the space-time structures of equatorial precipitation calculated by the APE 13 models. It it highly probable that various different choices of discretiza-14 tion schemes, spatial resolution, and implementations of physical processes 15 among the models result in the variety of model behavior. However, it is a 16 quite difficult task to point out one or more items that may cause one or 17 more particular differences in such structures. Before any progress be made, 18 it is necessary, at least, to describe the circulation structures associated with 19 the characteristic space-time structures of equatorial precipitation, and dif-20

¹ ferences among them.

As an attempt to describe systematically the various behaviors of equa-2 torial precipitation in the APE models, we decompose the time series data 3 of variables produced by each model into the contributions of Kelvin, WIG, 4 and AD components, construct composite structures of them, and com-5 pare the characteristics of composite disturbances. The experiments to be 6 analyzed are the subset CONTROL runs, where detailed transient data 7 are additionally submitted. They are AGUforAPE, CSIRO, ECMWF05, 8 ECMWF07, GSFC, LASG, and NCAR. Although the spectral property of 9 each component differs among models, we use the same definition of the 10 filters for each model. As a result, some of the dominant spectral power are 11 excluded from the composite for some models; most suffering from this is 12 WIG component in LASG where the contribution from the low wavenumber 13 region is out of the range. However, by this choice of the filters, we prioritize 14 the uniform application of filters to the results of all of the models to be 15 compared over the completeness of coverage of the three spectral compo-16 nents appearing in the results of each model. The wavenumber-frequency 17 domains of three kinds of filters are shown in Fig. 2. 18

¹ 5.1 Intensities of Kelvin, WIG and AD components

Before examining the spatial structure of each component, we compare 2 the intensities of three components of the additionally contributed seven 3 APE models. Fig. 6 shows the variance of equatorial precipitation calculated 4 from the time series with K, WIG, and AD filters; the absolute values 5 (Fig. 6(a)) and the values normalized by the variances of original, unfiltered 6 time series of precipitation of corresponding models (Fig. 6(b)). Fig. 7 7 is a scatter plot showing the relationship between the mean precipitation 8 squared and the two kinds of precipitation variances; shown by circle is the 9 total variance, i.e., the variance of the original time series of each model, and 10 shown by square is the sum of the variances of the three filtered components. 11 It is evident from Fig. 6(a) that the intensities of all components are strongly 12 model dependent. LASG and ECMWF05 are members that exhibit most 13 intense disturbances, whereas NCAR, GSFC, and CSIRO are those with 14 weakest. As for the intensity sum of K, WIG, and AD components, that 15 of ECMWF05 is about 30 times as large as that of NCAR. (see also those 16 plotted by squares in Fig. 7). 17

In Fig. 6(b), we can point out two aspects commonly noted among the models. First, the sum of the three components contributes to roughly about ten percents of the total variance of precipitation of each model. the contribution other than those three components is not at all negligi-

ble. Second, WIG component is weakest in the three kinds of disturbances. 1 However, the relative intensity of variances between K component and AD 2 component varies largely among the models. There is a weak negative cor-3 relation between the intensities of K and AD components. AGUforAPE and 4 ECMWF07 show contrasting features; AD component dominates in AGU-5 for APE, whereas K component dominates in ECMWF07. It is an important 6 issue to understand how the magnitudes of contributions of these three com-7 ponents to the total variance of precipitation are determined. However, it 8 is left for future studies. 9

It may be worth mentioning that both the unfiltered total variance and 10 the variance sum of the three components are well correlated with the aver-11 age precipitation intensity (Fig. 7). Total variance, for instance, is propor-12 tional to the cube of the average precipitation rate. LASG and CSIRO are 13 outliers exhibiting the larger and the smaller variance expected from the 14 tendency shared by the models, respectively. The variety of total variance 15 corresponds to the variety of the probability distribution function (PDF) 16 of precipitation. As shown in Fig.18 of Blackburn *et al.* (2012a), in the 17 models with the larger variance, EC05 and LASG, the PDFs have long tails 18 in the strong precipitation compared with the PDFs in the models with 19 the smaller variances, e.g., NCAR, GSFC, or CSIRO. One may imagine 20 that variance is the larger in the models with the higher spatial resolution. 21

However, it is not true; LASG, in which the total variance is very large,
is one of the models with the lowest horizontal resolution, and, EC05 and
EC07 differ drastically in the total precipitation variance in spite of their
identical horizontal resolution. The PDF of CSIRO does not have a long
tail, although its mean precipitation rate is not small. It is more plausible
that the variance is more strongly governed by cumulus parameterization.
This issue is also left for future research.

⁸ 5.2 Composite structure of Convectively Coupled Equatorial

Waves

9

Hereafter, the composite structures associated with K, WIG, and AD 10 components of the seven APE models are examined. As was written in 11 section 3, the composite structures are derived from the regression of corre-12 sponding filtered variables to the symmetric component of filtered precip-13 itation intensity at the equator. The variables in the following figures are 14 scaled for 0.0001 [Kg/s m²] precipitation anomaly at the reference latitude, 15 180 degree longitude. Note that the intensities of composite disturbances 16 presented in the following figures do not represent the intensities of those 17 disturbances emerging in the models; only their structures matter. 18

¹ a. Composite structure for K component

The composite structures for K component are presented in Fig. 8–14. 2 Fig. 8 shows the horizontal structures of precipitation and horizontal wind 3 at the height of 925hPa. In all models, precipitation anomalies are well con-4 fined near the equator. However, the latitudinal extents somewhat differ; 5 in ECMWF05 and LASG, they are sharply confined around the equator 6 whereas in AGUforAPE, ECMWF07, and NCAR, they are broad. Gener-7 ally, the north-south extent corresponds to the width of the ITCZ in each 8 model (Blackburn et al. 2012a). The longitudinal structures also differ 9 among the models; in LASG and ECMWF05 and GSFC, they are confined 10 around the precipitation peak, while in AGUforAPE and ECMWF07, they 11 are broader. In NCAR, precipitation anomaly has a wave-like variation 12 with the wavelength of about 6000km, and associated with off-equatorial 13 signal which is delayed with 10 degrees. Similar off-equatorial signal can 14 be found also in GSFC. Note that both of the two models are characterized 15 with distinct double ITCZ structure (Williamson et al. 2011). The hori-16 zontal wind structures deviate from that expected from the shallow water 17 Kelvin wave (Matsuno 1966); the magnitudes of meridional flows are not 18 very different from those of zonal flows. Convergence of meridional wind 19 commonly occurs at almost the same location as that of zonal wind. Among 20 the seven runs, AGUforAPE exhibits a most deviated horizontal wind struc-21

Fig. 8
Fig. 9
Fig. 10
Fig. 11
Fig. 12
Fig. 13
Fig. 14

ture. Generally, low level horizontal wind driven by condensation heating
tends to be confined around the condensation heating (precipitation) area,
as is typically indicated by CSIRO, ECMWF05, ECMWF07, and LASG
(Fig. 8(b), (c), (d), (f)). However, AGUforAPE (Fig. 8(a)) shows wide
spread wind response especially to the west of condensation heating. We
can recognize anticyclonic circulations which seem to extend beyond the
range of the figure to the subtropical latitudes.

Fig. 9 shows the horizontal structures of geopotential and horizontal 8 wind at the height of 850hPa. The horizontal structures of most models are 9 similar to that of shallow water equatorial Kelvin wave (Matsuno 1966) in 10 the sense that zonal component dominates in the wind field and geopotential 11 height and zonal wind are positively correlated and confined around the 12 equator. Wind convergence appears near the precipitation maximum in 13 all of the models. However, precise location of convergence varies among 14 models; it resides 5-10 degrees to the east of the the rainfall maxima in 15 AGUforAPE, CSIRO, ECMWF05 and ECMWF07, about 2 degrees to the 16 east in GSFC and LASG, and about 2 degrees to the west in NCAR. 17

One of the features at the level of 850hPa that deviate from the structure of Kelvin wave, we can recognize significant meridional wind perturbation near the precipitation maximum for all models. It may be worth mentioning that the strength of meridional wind perturbation depends on the choice of variable for the key of regression; the composite horizontal structure
based on the regression to low level zonal wind at the equator (not shown
here) exhibits much weaker meridional wind, displaying the larger degree of
similarity to a shallow water Kelvin wave.

The structure of AGUforAPE (Fig. 9(a)) exemplifies a peculiar struc-5 ture of deviation from that of Kelvin wave. Its zonal wind perturbation is 6 strongly confined in the vicinity of the equator compared to that of geopo-7 tential height. The meridional wind perturbation, on the other hand, seems 8 to originate in the higher latitudes in the same way as observed at the sur-9 face level (Fig. 8(a)). By inspecting Fig. 9 and also Fig. 8 more carefully, we 10 can point out that NCAR also show somewhat peculiar features. First, the 11 longitudinal extent of the composite structure is small compared to others; 12 the others show one pair of high and low pressure anomalies along the equa-13 tor while NCAR shows one and half. This feature is also confirmed in the 14 power spectra of equatorial precipitation (Fig. 4); signals with wavenumber 15 5–10 are dominant in NCAR, whereas those with the smaller wavenum-16 ber are dominant in the other models. Second, the precipitation anomaly 17 exhibits a significant meridional phase difference; the longitude of maxi-18 mum precipitation at the latitude of the ITCZ is located at about 10 degree 19 to the west of that at the equator. This horseshoe like structure can be 20 constructed as a superposition of the horizontal structures associated with 21

1 equatorial Kelvin wave and eastward inertio gravity wave, the latter being shifted by about 5 degrees to the east of the former. Coexistence of those 2 two types of wave structures is consistent with the dominant precipitation 3 signals in the wavenumber-frequency space (Fig. 4(o) and Fig. 5(o)), where 4 intense power appears along the dispersion relation of not only Kelvin wave 5 but also eastward inertio gravity wave having the equivalent depth of about 6 10 m, Also observed is that the horizontal wind structure at the surface level 7 shown in (Fig. 8(g)) resemble that of eastward propagating inertio gravity 8 wave. The composite horizontal structure of K component in NCAR seems 9 to include both of the features of eastward propagating inertio gravity waves 10 and Kelvin waves. 11

In contrast to the resemblance among the models observed in the surface 12 and the lower troposphere, there is considerable model dependence in the 13 upper tropospheric structures. Fig. 10 shows the horizontal structures of 14 geopotential and horizontal wind at the level of 250hPa for K component. 15 The divergence of zonal wind perturbation around the maximum of precip-16 itation that is the feature expected for the so called first baroclinic thermal 17 response of Kelvin wave type without background wind is found only for 18 LASG and NCAR. In ECMWF07 and GSFC, the areas of zonal wind di-19 vergence are found as far as 1500–2000 km to the east of the precipitation 20 maximum. In AGUforAPE, CSIRO, and, ECMWF05, zonal winds are con-21

vergent at the precipitation maxima; the horizontal divergence that is required as the continuation of the upward flow at the precipitation maximum
is accounted exclusively by the divergence of meridional flow. Additionally,
significant vortical perturbations are notable in the subtropics, although the
phase of the vortice relative to the location of the precipitation maximum
varies among the models.

The diversity in the upper troposphere appears because the phase ve-7 locities of the signals of K component, which are typically $10 \sim 30$ m/s, are 8 not very different from the zonal mean zonal wind in the upper troposphere 9 in the tropical and subtropical regions in the models. There are mainly two 10 effects caused by the existence of background westerly wind. One is that 11 intensity of thermal response of Rossby wave type changes greatly accord-12 ing to the intensity of background westerly. The other is that the effective 13 value of equatorial β including the background wind term $-\overline{u}_{yy}$ tends to de-14 crease, since the background westerly tend to reach zero potential vorticity 15 field around the equator (Sardeshmukh and Hoskins 1988). The response 16 structure could be quite sensitive to the subtle difference of the structure of 17 basic wind and heating at the precipitation anomaly. The structure of the 18 vortical perturbation associated with K component in the different models 19 are presented in Appendix for interested readers. 20

21

Fig. 11 shows the vertical structures of temperature, zonal wind, and

vertical velocity along the equator for K component. We note that temper-1 ature and vertical velocity anomalies in ECMWF05, ECMWF07, LASG, 2 and NCAR, have westward phase tilt being consistent with wave-CISK the-3 ory. At the same time, we should emphasize that the vertical structure of 4 temperature anomaly displays a wide variety among the models. We can 5 notice at least four types of temperature perturbations among the models; 6 a signal of the first baroclinic mode extending whole depth of the tropo-7 sphere, a signal of the second baroclinic mode which has two maxima of 8 amplitude in the troposphere with longitudinal phase shift to each other, a 9 thin signal at around 600hPa that is associated with the melting of ice phase 10 hydrometeor, and another thin signal near the surface possibly associated 11 with the evaporation of raindrops. In each of the models, the four types 12 of temperature signal appear in different combination, intensity, and phase 13 relationship, resulting in the wide variety of the temperature structure. 14

Fig. 12 shows the vertical structures of specific humidity, zonal wind, and vertical velocity along the equator for K component. As a common feature in most models, the humidity field is characterized with a "slant" structure; lower troposphere is moist to the east of the rainfall anomaly, and dry to the west, whereas middle and upper troposphere is dry to the east and moist to the west. In GSFC, however, the longitudinal distribution of humidity anomaly in the lower troposphere around 700–925hPa has the opposite sign to those in the other models; humidity of GSFC is dryer
(more moist) to the east (west) of the rainfall anomaly. Another common
feature is the existence of a shallow dry region near the surface to the west
of the precipitation anomaly, which could be a result of downdraft driven
by the cooling associated with, presumably parameterized, evaporation of
raindrops.

The vertical structures of circulation at the equator shown in Fig. 11 7 and Fig. 12 vary considerably among the models. In the majority of the 8 models, the first baroclinic mode structure dominates in the vertical ve-9 locity fields, although the location of upward motion does not necessarily 10 corresponds to the area of upper level zonal wind divergence because of 11 the significant contribution of meridional wind divergence mentioned above 12 and also shown later. In most models, the contribution of the second baro-13 clinic mode structure can be noted by the existence of the westward phase 14 tilt. Examples are found in ECMWF05, ECMWF07, LASG, and NCAR. 15 The composite disturbance of GSFC has one notable feature; a significant 16 downward flow of cool air is found in the lower troposphere to the west 17 of the maximum of precipitation. This is a structure somewhat similar to 18 the mesoscale downward flow that develops below anvil clouds of mesoscale 19 precipitation features (Houze and Betts 1981). However, the zonal extent 20 in Fig. 12(f) is too broad to be regarded as mesoscale; this feature could be 21
¹ explained as a cumulative effect of more compact cold downdrafts found in
² AD component, which will be presented later.

The composite structures of temperature tendency due to parameterized 3 convection (referred to as DT_CONV hereafter) and those due to resolved 4 clouds (referred to as DT_CLD hereafter) at the equator of K component 5 are shown in Fig. 13 and Fig. 14, respectively. In all models, DT_CONV is 6 zonally well confined. In NCAR, regions of significant negative values are 7 observed to the west and to the east of the center of precipitation anomaly. 8 However, recalling that precipitation itself has a zonally wavy structure 9 (Fig. 8(g)), they directly correspond to in situ precipitation anomaly. On 10 the other hand, the vertical structure of DT_CONV is strongly model de-11 pendent. In LASG, it is distributed mainly in the lower troposphere. In 12 AGUforAPE, ECMWF05, and, ECMWF07, the distributions of DT_CONV 13 are mostly confined above the freezing levels, whereas those in GSFC and 14 NCAR, they have deep structures extending to both of the lower and the 15 upper tropospheres. In ECMWF07, there is a region of cooling near the 16 surface, presumably resulting from rain evaporation. 17

The distributions of DT_CLD are strongly model dependent, not only in their vertical structures but also in their zonal structures. In AGUforAPE and ECMWF05, DT_CLD is zonally confined and the vertical structures are similar to those of corresponding DT_CONVs. In ECMWF07, GSFC, and

presumably NCAR, the distributions of DT_CLD spread much more exten-1 sively in the zonal direction than those of precipitation. In ECMWF07 and 2 GSFC, the distributions are characterized by the second baroclinic mode 3 structure; in the lower troposphere, heating is positive to the east of the 4 center of precipitation anomaly, and negative to the west nicely represent-5 ing the cooling due to evaporation of stratiform precipitation. It should 6 be noted that the cooling area extends about 3000 km to the west of the 7 center of precipitation anomaly, which is much wider than the typical ex-8 tent of "mesoscale precipitation features" (Houze and Betts 1981). As a 9 result, overall structure of the heating is somewhat similar to "giant squall 10 lines" observed in the upward motion area of Madden Julian Oscillation 11 as described e.g. in Mapes et al. (2006). There are also shallow regions 12 of cooling near the surface in ECMWF05, ECMWF07 and NCAR. Such 13 cooling near the surface is absent in AGUforAPE. 14

In summary, the composite structures of K component have some degree of similarity to those of the equatorial Kelvin wave mode. This is especially true for the horizontal structure in the lower troposphere. The vertical structures, on the other hand, are shown to be strongly model dependent. It seems that the intensity of disturbances of K component in a particular model seems to increase as the increase of the similarity of the composite structure to the structure of the unstable wave-CISK mode. This point will ¹ be discussed in Section 6.

² b. Composite structure for WIG component

The composite structures for WIG component are presented in Fig. 15– 3 21. Fig. 15 shows the horizontal structures of precipitation and horizontal 4 wind at the level of 925hPa, and Fig. 16 shows the horizontal structures of 5 geopotential and horizontal wind at the level of 850hPa. We can observe 6 that the horizontal structures of geopotential and wind disturbances are 7 similar to those of shallow water westward propagating equatorial gravity 8 wave mode. For all models, there are clear dipole structures of geopotential 9 anomalies aligned along the equator. The positive (negative) geopotential 10 anomalies locate to the west (east) of the rainfall anomalies. The horizontal 11 convergence anomalies also tend to appear about 5 degrees to the west of the 12 centers of precipitation anomalies. Zonal and meridional wind components 13 contribute about equally to the intensities of convergences. It may be noted 14 that rainfall anomalies show wavy variation in AGUforAPE and LASG. 15

The structures of disturbances in the upper troposphere (Fig. 17) are, unlike the composites of K component, similar to those of the corresponding equatorial westward inertio gravity wave mode of a shallow water system. The signature of geopotential anomalies is opposite to that in the lower level (Fig. 16) except that the patterns are shifted to the east. The areas

Fig. 15
Fig. 16
Fig. 17
Fig. 18
Fig. 19
Fig. 20
Fig. 21

of horizontal divergence are located to the east of the precipitation maxima 1 by 5-10 degrees, being consistent with the eastward tilt of the vertical 2 velocity anomalies shown later. The smaller degree of model dependence of 3 the upper tropospheric horizontal structures of WIG component compared 4 to those of K component can be understood considering the propagation 5 direction. Disturbances of WIG component propagate westward and their 6 doppler shifted phase velocities do not become small anywhere in the tropo-7 sphere, while those of K component propagate eastward and their doppler 8 shifted phase velocities become small in the upper troposphere as mentioned 9 previously. 10

Fig. 18 and Fig. 19 show the vertical structures of temperature and spe-11 cific humidity, respectively, superposed on zonal wind and vertical velocity 12 along the equator of WIG component. In the same way as those of K com-13 ponent (Fig. 11 and Fig. 12), they exemplify wide diversity among the 14 models. We may say that vertical velocity anomalies have some eastward 15 phase tilt in many of the models, being consistent with wave-CISK theory. 16 However, the structures of temperature anomaly are more complex than 17 those often described as the first or the second baroclinic mode in simple 18 theoretical models. Notable, but not necessarily common, features are the 19 existence of thin structures at around the melting level and near the surface. 20 An interesting feature observed in GSFC and LASG is that magnitudes of 21

temperature, vertical velocity and specific humidity anomalies are large in 1 the lower troposphere. Note that GSFC and LASG are the runs where WIG 2 component is relatively active as indicated in (6(b)). However, the structure 3 does not look similar to each other. A peculiar feature of GSFC is a pair of 4 temperature anomaly in the lower troposphere; a warm area to the west and 5 a cool area to the east of the precipitation maximum. It seems that verti-6 cal wind and temperature is positively correlated in GSFC, while westward 7 tilt of anomalies below the middle of the troposphere is more evident in 8 LASG. As for moisture anomaly, the longitudinal moisture contrast around 9 the precipitation maximum is more evident in the lower troposphere than in 10 the upper troposphere in ECMWF05 and ECMWF07. This contrasts with 11 that for K component (Fig. 12(c) and (d)) where the moisture signal is 12 stronger in the upper troposphere. CSIRO, where thin moisture structures 13 can be observed in WIG component, also shows that the lower tropospheric 14 moisture signal is stronger for WIG component than for K component. In 15 GSFC and NCAR, shallow east-west contrast of humidity near the surface 16 is notable. In ECMWF05, where WIG activity is remarkable as shown in 17 6(a), the intensity of the composite disturbance seems to be rather weak. 18 However, since the plotted quantities are the coefficients of regression to the 19 unit amount of precipitation, the structure of WIG component emerging in 20 ECMWF05 becomes quite significant. 21

The composite structures of temperature tendencies due to parameter-1 ized convection, DT_CONV, and those due to resolved clouds, DT_CLD, 2 on the equator are shown in Fig. 20 and Fig. 21, respectively. The struc-3 ture of DT_CONV for WIG component in each model is generally similar 4 to that of the corresponding composite for K component. If we compare 5 carefully, however, the vertical distribution of heating for WIG component 6 is shifted slightly to the lower altitudes. The structure of DT_CLD for WIG 7 component in each model is also generally similar to that for K component, 8 except that the zonal direction is reversed and the zonal extent is shortened 9 to about one-third. We can point out for NCAR, as an example description 10 of the difference between the structures of DT_CLD for WIG and K com-11 ponents, the difference of the distributions of rainfall. There appear only 12 one pair of heating and cooling regions for WIG component (Fig. 21(g)) 13 while there are one and half wavelength of heating and cooling regions in 14 DT_CLD for K component (Fig. 14(g)). Correspondingly, the rainfall dis-15 tribution of WIG component is more solitary, while that for K component 16 is more wavy. The distribution of DT_CLD for WIG component should be 17 associated with a rather solitary rainfall event. Indeed, the west-moist and 18 east-dry structure of DT_CLD for WIG component can be recognized as 19 an representation of shallow cloud activity preceding the updraft and the 20 afterward evaporation of stratiform-type rainfall. 21

In summary, the horizontal structures of WIG component have char-1 acteristics similar to those of the equatorial westward inertio gravity wave 2 mode even in the upper troposphere. However, the vertical structures of 3 composite disturbances are shown to be strongly model dependent. As is 4 clearly indicated for LASG (Fig. 18(c)), there are models where the vertical 5 structures are similar to those of the unstable mode of wave–CISK; tilted 6 updraft and temperature fields, while, as indicated for GSFC, there are 7 models where the areas of cold downdraft exist in the lower troposphere 8 caused by stratiform-type precipitation activity, contributing generation of 9 kinetic energy. 10

¹¹ c. Composite structure for AD component

Fig. 22 shows the horizontal structures of precipitation and horizontal 12 wind at the level of 925hPa. In all models, the precipitation anomaly is 13 confined both meridionally and longitudinally. The zonal extents for AD 14 component are much smaller than those for K (Fig. 8) or WIG (Fig. 15) com-15 ponents. There are negative anomalies of precipitation to the east and west 16 of the main positive anomaly in ECMWF05 and LASG. In NCAR, there 17 are a pair of negative anomalies to the north and to the south of the precip-18 itation maximum. Fig. 23 shows the horizontal structures of geopotential 19 and horizontal wind at the level of 850hPa. The horizontal structures in the 20

Fig. 22
Fig. 23
Fig. 24
Fig. 25
Fig. 26
Fig. 27
Fig. 28

lower troposphere are strongly model dependent. In AGUforAPE, there is 1 a pair of cyclones straddling the equator at around the longitude of maxi-2 mum precipitation. More or less similar pair of cyclones can be noted also 3 in CSIRO, but they are located closer to the equator. The pairs of cyclones 4 in AGUforAPE and CSIRO are similar to the Doppler shifted equatorial 5 Rossby waves in the analysis of Yang et al. (2007a, 2007b). In ECMWF05 6 and ECMWF07, the geopotential anomalies at around the equator are weak. 7 In ECMWF05, there is a low pressure anomaly on the equator at the max-8 imum of precipitation, but, in contrast to the vorticity dominated flow in 9 AGUforAPE, the lower level flow converges without intense rotational fea-10 ture. In ECMWF07, the low level flow off the equator near the precipi-11 tation maximum is anticyclonic. In GSFC, the maximum of precipitation 12 accompanies distinct high pressure and divergence, whereas a low pressure 13 anomaly and convergence appear about 1,200 km to the east. To the north 14 and south of the equatorial high and low pressure anomalies, flow exhibits 15 anticyclonic circulation. In LASG, a low pressure area on the equator is 16 located at the precipitation maximum, and convergent flow is observed just 17 to the west. In NCAR, the equatorially confined geopotential feature is not 18 well recognized. In contrast to the diversity of the horizontal structures 19 in the lower troposphere described above, those in the upper troposphere 20 (Fig. 24) are more or less similar to each other, being characterized with a 21

compact high pressure anomaly at around the precipitation maximum from
which horizontal wind diverges almost isotropically.

Fig. 25 and Fig. 26 show the vertical structures of temperature and spe-3 cific humidity, respectively, superposed on zonal wind and vertical velocity 4 along the equator of AD component. The vertical structures are extremely 5 model dependent. AGUforAPE is unique in the presence of an intense 6 lower level warm anomaly. ECMWF05 is characterized with a deep warm 7 core through which an upright ascending motion exists. These two models 8 are common in lacking the cool anomaly near the surface which appear in 9 most of the other models. A lower tropospheric warm core exists also in 10 CSIRO, but it exhibits a distinct surface cold signal. ECMWF07, GSFC, 11 and NCAR are common in that the lower troposphere below the melting 12 level around 600hPa is cool. ECMWF07 has a distinct cool region near the 13 surface. The characteristics of the lower tropospheric vertical velocity at the 14 precipitation maximum vary even in these three models; updraft dominates 15 in ECMWF07, but it is almost absent in NCAR, and downward motion 16 dominates in GSFC. In GSFC, there exists a distinct low level upward mo-17 tion at around the level of 850hpa about 1,000-1,500 km to the east of the 18 precipitation maximum, where positive heating anomaly of DT_CLD can 19 be found as will be shown shortly below. LASG exhibits a cold anomaly in 20 the low level, a warm anomaly around 500hPa, and a cold anomaly again 21

near the tropopause; there is no feature corresponding to the thin cold 1 anomaly around 600hPa found in most of the other models, presumably 2 because the melting of icy hydrometeors is not considered in LASG. The 3 vertical structures of humidity (Fig. 26) are characterized with the longi-4 tudinally confined positive anomalies at the location of precipitation, but 5 their vertical extents differ among the models. In AGU for APE and LASG, 6 updraft covers the deep regions of moist anomaly. In the middle and up-7 per troposphere, moist area appears also in other models, but in the lower 8 troposphere, the humidity structures are much more model dependent. 9

The composite structures of temperature tendencies due to parameter-10 ized convection, DT_CONV, and those due to resolved clouds, DT_CLD, 11 along the equator are shown in Fig. 27 and Fig. 28, respectively. The 12 structure of DT_CONV in each model is generally similar to that in the 13 composite of K or WIG component of the corresponding model. If we com-14 pare carefully, however, the vertical distribution of the heating is shifted 15 slightly to the higher altitudes than for that of K or WIG component for 16 all models. This difference is most notable in NCAR and GSFC. DT_CLD 17 of AD component is zonally localized in most models, in contrast with the 18 zonally extended structures of DT_CLD in K and WIG components (Fig. 14 19 for K and Fig. 21 for WIG components, respectively). However, GSFC is 20 an exception in that DT_CLD is not localized; prominent low level heating 21

and upper level cooling anomaly exist at about 1,300 km to the east of the 1 precipitation maximum, where low level convergence and upward motion 2 appear (Fig. 23(e) and Fig. 25(e)). In AGUforAPE and ECMWF05, the 3 vertical structures of DT_CLD are similar to those of DT_CONV as in cor-4 responding K and WIG components. In other three models, ECMWF07, 5 GSFC, and, NCAR, the lower troposphere at the precipitation maximum 6 is the region of cooling. The cooling of DT_CLD, which results presumably 7 from the evaporation of stratiform, nearly cancels out the heating caused by 8 DT_CONV in those models. The cancellation is consistent with the weak 9 updraft in the lower troposphere of those models. 10

In spite of the widely different structures among the models described 11 above, we can point out two common features shared in all models; the 12 vertical motions are upright, and are localized around the precipitation 13 maxima. These two points are in contrast with the structures of composite 14 signals found for K and WIG components, both of which have significant 15 tilting and broader zonal extent. The upright structure of the advective 16 component suggests that it may not be a wave-CISK type instability but 17 may be a CIFK (conditional instability of the first kind) type instability 18 that drives AD component. 19

¹ 6. Discussions

6.1 Possible mechanism supporting each type of of disturbances
We try to point out possible mechanisms that determine how prominently disturbances of each component emerge in different models.

5 a. K component

Based on the composite structures of K component and the wavenumber-6 frequency spectra of precipitation of the APE models, we can point out that 7 characteristics obtained by classical wave-CISK theory seems to be still use-8 ful in describing the structures of disturbances. In ECMWF05, ECMWF07, 9 LASG, and NCAR, where K component is distinct (Fig. 4(f), (g), (l) and 10 (o)), the vertical structures of the composite disturbances (Fig. 11(c), (d), 11 (f) and (g)) are similar to those of the eastward propagating unstable equa-12 torial Kelvin modes of wave-CISK (e.g., Hayashi, (1970); Lau and Peng, 13 (1987); Chang and Lim, (1988)) and the observed convectively coupled 14 Kelvin wave (Wheeler and Kiladis 1999). Namely, both temperature per-15 turbation and vertical velocity are tilted westward as the increase of alti-16 tude, and in the upper troposphere, they are positively correlated. This 17 positive correlation accounts for the energy conversion from available po-18 tential energy to kinetic energy. In NCAR, K component exhibits a similar 19

structure except that the westward tilt of the temperature anomaly is not 1 very large (Fig. 11(g)). However, recalling that the dominant wavelength 2 of the K component disturbances in NCAR is much shorter than those of 3 the three models above, this phase tilt is small but significant. As the 4 wavelength is about 60° (~ 6,000 km; see Fig. 9(g) for example), the lon-5 gitudinal difference between the mid tropospheric warm anomaly and the 6 upper tropospheric warm anomaly, 12° , is as large as 1/5 of the wavelength. 7 On the other hand, in the other models, where K component is not dis-8 tinct, updraft and/or temperature anomaly lacks a proper vertical phase 9 tilt expected from wave-CISK theory. In CSIRO, updraft is slightly tilted 10 westward, but temperature anomaly is not tilted. In GSFC, temperature 11 anomaly is tilted eastward. In AGUforAPE, the so called second baro-12 clinic mode is significant in the temperature anomaly, and there is a strong 13 negative correlation between upward motion and temperature in the lower 14 troposphere, which is unfavorable for generation of kinetic energy. 15

It should be remarked that we are not claiming naive application of wave-CISK in its original form to the results be valid. In each model, the vertical profile of heating in the composite structure exhibits considerable longitudinal variation, which originates mainly from the contribution of the stratiform cloud process (Fig. 14). This situation of heating seems to be far from the assumption of wave-CISK where the vertical profile of heating

is prescribed and its magnitude is proportional to low level convergence 1 or updraft. Nevertheless, as is demonstrated by Nakajima *et al.* (2012), 2 the prediction of wave-CISK, e.g., the sensitivity to the vertical structure 3 of cumulus heating, seems to remain basically valid even in GCMs where 4 the vertical profile of heating is determined through rather complicated 5 procedures. However, we could not go into further details at this point. 6 More complete time series of model runs may be indispensable for examining 7 and understanding the nature of coupling between waves and parameterized 8 cumulus convection. In addition, it may be necessary to incorporate more 9 sophisticated theories (e.g., Kuang, 2008; Andersen and Kuang, 2008), and 10 comparison with cumulus resolving models (e.g., Kuang, 2010). 11

A delicate issue is to understand the emergence of eastward propagat-12 ing signals in CSIRO, GSFC, and AGUforAPE. Although the disturbances 13 of K component in AGUforAPE is not evident in the original power spec-14 trum of equatorial precipitation (Fig. 4(a)), the enhanced power spectrum 15 (Fig. 5(a)) suggests the existence of disturbances of K component. The sig-16 nals of K component in GSFC and CSIRO are even more evident as shown 17 Fig. 5(c) and (1). However, their structures do not seem to be consistent 18 with those predicted by classical wave-CISK; they do not show clear west-19 ward phase tilt in the vertical direction. Actually, their heating profiles are 20 not favorable for generating disturbances of the wave-CISK type. There is 21

a region of cooling in the upper troposphere in AGUforAPE (Fig. 13(a)), 1 and there is a large contribution from resolved clouds (DT_CLD) in GSFC 2 (Fig. 14(e)). The reason why we can find disturbances of K component 3 in those models are not clear. One possibility is the wind-induced surface 4 heat exchange (Emanuel, 1987 and Neelin *et al.*, 1987), where no phase 5 tilt of a disturbance is required. Another is a forcing from, or the interac-6 tion with the midlatitudes. As is presented in the Appendix, the structures 7 of disturbances of K component are associated with vortical signals in the 8 subtropical latitudes. Furthermore, supplementary analysis (not presented 9 here) shows that non negligible correlation exists between the midlatitude 10 meridional wind and the low latitude precipitation in most models. Some 11 authors, for example, Zappa et al. (2011) and Straus and Lindzen (2000), 12 investigated possibility of the midlatitude disturbances and the tropical con-13 vective activities. Confirmation of these considerations with the APE data 14 is left for future research. 15

16 b. WIG component

¹⁷ Compared to K component described above, the relationship between ¹⁸ the intensity and the structure of disturbances among different models is ¹⁹ less clear. As for the absolute intensity, singnals of WIG component are ²⁰ noticeable in ECMWF05 and LASG (Fig. 6(a)). The composite vertical structures of these (Fig. 18(c) and (f)) show eastward phase tilt in temperature and wind disturbances, which is a feature common to westward
propagating unstable modes of wave-CISK. We can also recognize similar
tilted structures for WIG components in NCAR and ECMWF07 (Fig. 18(d)
and (g)), although the intensities of WIG components for these are not very
large.

As for the relative intensity normalized by the total variance of precipi-7 tation (Fig. 6(b)), LASG and GSFC are the models with large WIG compo-8 nents. Common features notable in these two models are intense tempera-9 ture and vertical velocity perturbations in the lower troposphere (Fig. 18(e) 10 and (f). This combination may be preferable to activate coupling between 11 gravity waves and convective activity. The composite disturbance of GSFC 12 has a peculiar characteristics; to the east of the precipitation anomaly in the 13 lower troposphere, there is a region of downdraft in the cold anomaly, which 14 may help generation of gravity waves. This cool downdraft is presumably 15 induced by the cooling due to the evaporation of stratiform rain (Fig. 21). 16 The timescale of about 1 day and the horizontal extent of about 1000 km are 17 not quite different from those of observed mesoscale precipitation systems 18 (Houze and Betts 1981), WIG (Takayabu 1994b), or so-called "2-day waves" 19 (Haertel and Kiladis 2004). However, it is not clear whether such seemingly 20 superficial correspondence supports a particular parameterization of cloud 21

1 processes.

² c. AD component

AD component is significant in ECMWF05, LASG, and AGUforAPE, 3 measured either by the absolute intensity or by the relative intensity normalized by the total variance of precipitation (Fig. 6). Before examining 5 possible factors that contribute the high intensities of AD components in 6 these three models, it is important to examine whether the disturbances 7 of AD components in these models should be identified as "advective" in 8 more strict sense. In the wavenumber-frequency spectra (Fig. 4 or Fig. 5), 9 we can easily find that the signals of AD components in AGUforAPE and 10 LASG have dominant phase velocities, respectively, while we cannot in 11 ECMWF05. In AGUforAPE and LASG, the dominant westward phase 12 velocities are about 10.3m/s and 7.7 m/s, respectively. They are reason-13 ably close to the zonal mean zonal winds at 850hPa of the corresponding 14 models, namely, 11.2 m/s and 8.3 m/s, respectively. The Hovmëllor plot 15 for LASG (Fig. 3(1)) may give an impression of much faster phase velocity. 16 However, this impression results from the superposition of faster distur-17 bances of WIG component and slower disturbances of AD component. The 18 coincidence of the zonal wind velocity and the phase speed suggests that 19 the motions of disturbances in AD component of AGUforAPE and LASG 20

¹ are indeed governed by advection of certain physical variables.

AD component spectrum of ECMWF05, on the other hand, is scattered 2 in a wide range with red frequency distribution in wavenumber-frequency 3 space. Because of this wide bandwidth, a significant portion of power 4 does fall within the defined spectral region of AD component. And hence, 5 no characteristic velocity can be pointed out. However, disturbances of 6 AD component in ECMWF05 requires more careful examination. In the 7 Hovmëllor plot of precipitation (Fig. 3(f)), we can notice that intense grid-8 scale precipitation of ECMWF05 is not short-lived; it sometimes lasts for as 9 long as about 5 days. Looking into such cases closely, we can find that these 10 grid-scale precipitation areas move very slowly; in some cases, they do not 11 move at all throughout the 5 day lifetime. This slow movement is not trivial 12 because it can hardly be explained by advection of physical variables by the 13 zonal mean zonal wind, which is about -7.5 m/s at 850hpa in ECMWF05. 14 Close examination reveals that those strong grid-scale convections tend to 15 develop to the west of the low level zonal convergent area of intense distur-16 bances of K component, where the low level westerly wind anomaly associ-17 ated with the K component almost completely offset the zonal mean easterly 18 winds. The advection by the local wind explains the behavior of grid-scale 19 precipitations in ECMWF05 including their very slow movement. We can 20 conclude that, as in AGUforAPE and LASG, AD component in ECMWF05 21

¹ is presumably governed by advection of certain physical variables.

Now the issue to be examined is to identify the physical quantities that 2 keep the identity of the disturbances of AD component. In AGUforAPE, 3 one of the physical quantities seems to be water vapor mixing ratio, which 4 exhibits a deep positive anomaly at the maxima of precipitation (Fig. 26(a)). 5 The low level vorticity anomalies at the off equatorial regions around the 6 precipitation maximum (Fig. 23(a)) may also contribute to keep the identity 7 of AD component disturbances either as coherent vortices or as equatorial 8 Rossby waves (Yang et al., 2007a; 2007b). In LASG and ECMWF05, a pos-9 itive moisture anomaly at the rainfall maximum is also found (Fig. 26(c) 10 and (f). However, we are less confident that the moisture anomaly serves as 11 the memory variable to be advected, because the intensity of the moisture 12 signal in LASG is weaker than that in AGUforAPE, and it is further weaker 13 in ECMWF05. However, the weakness of the moisture signal in ECMWF05 14 is a result of mismatch between the characteristic phase velocity that define 15 AD filter, 2.5–12 m/s, and the true motion velocity of the grid-scale pre-16 cipitation in ECMWF05, which is almost zero, mentioned in the previous 17 paragraph. It should also be reminded that the intensity of the composite 18 signal is normalized by the intensity of precipitation anomaly; the precipi-19 tation signal in ECMWF05 is very strong, so that the true intensity of the 20 humidity signals realized in the model is not necessarily weaker than that 21

¹ in other models.

It is notable in Fig. 26 that some amount of positive moisture anomalies 2 exist at the precipitation maxima even in the models with weak signals in 3 AD component. One would have a question why moisture in these models 4 could not serve as a memory variable. It is the temperature field (Fig. 25) 5 that gives us a clue to the question. As mentioned in section 5, there are 6 distinct low temperature anomalies in the low levels of the atmosphere at 7 around the precipitation maxima in the models with weak signals of AD 8 component, i.e., in CSIRO, EC07, GSFC, and NCAR (Fig. 25(b),(d),(e) 9 and (g)), whereas no low temperature anomaly exists in the low levels in 10 AGUforAPE and ECMWF05 (Fig. 25(a) and (c)). The development of 11 the low level cold temperature anomalies, which results from evaporation 12 of raindrops, terminates the life of convective clouds (Nakajima and Mat-13 suno 1988). Owing to the low level cold anomalies, grid scale convections 14 in AGCMs, i.e., the updrafts of disturbances in AD component, shall also 15 be prevented from having a long life time. From this viewpoint, however, 16 the existence of low level cold anomaly in LASG (Fig. 25(f)) is troublesome. 17 There should be some reason that suppresses the destructive effect of low 18 level cold anomaly to have a significant amount of signals in AD component 19 of LASG. This might be explained by the fact that latent heating in LASG 20 extends to considerably lower levels (Fig. 27(f)) compared with those in 21

the other models. Sensitivity of the behavior of grid scale convection to
rain evaporation is also demonstrated by the contrast between the behaviors of AD component in ECMWF05 and ECMWF07; from the former to
the latter, parameterization of rain evaporation is revised so as to increase
the efficiency of rain evaporation (Bechtold et al. 2008), and intensity of
disturbances in AD component decreases greatly ¹.

Finally, a remark is made on the effect of rain evaporation on the tem-7 perature and moisture signals. One may think that rain evaporation should 8 increase moisture content at the place it occurs. Then, low level moisture 9 should increase in the models with stronger rain evaporation. However, this 10 is not true. In the models with active rain evaporation, such as GSFC and 11 NCAR, there appear cold temperature and negative humidity anomalies in 12 the low levels of the atmosphere (Fig. 26(e) and (g)). One should recognize 13 that the evaporation of rain cools the atmosphere and induces downward 14 motion, which contributes to drying the atmosphere. 15

¹It is interesting to note that, the revision to enhance the rain evaporation not only suppress the grid scale convection of AD component but also enhance the disturbances of K component, although the reason remains unclear.

¹ 6.2 Comparison with observed Convectively Coupled Equato-

rial Waves

2

It would be desired to compare the behaviors of disturbances in the APE 3 runs with CCEWs in the real atmosphere. However, we should be cautious 4 in such comparison for at least two reasons. First, the behaviors of distur-5 bances in the real atmosphere should be greatly affected by non-uniformity 6 or asymmetry of the surface boundary conditions, which is one of the great 7 differences between the APE and the real atmosphere. Second, quantities 8 observed in the real atmosphere do not necessarily have temporal and/or 9 spatial coverage, resolution, and uniformity. We have to keep in mind that 10 attempts of comparison, which follows, inevitably remain superficial. 11

We should also note that wavenumber-frequency spectra of OLR, rather 12 than precipitation in the present study, has been examined by a number of 13 studies on CCEWs including Takayabu (1994a) and Wheeler and Kiladis 14 (1999). However, Cho et al. (2004) examines the precipitation data from 15 TRMM, and shows that the types and their characteristics of CCEWs found 16 in TRMM data is consistent with those in OLR data. In the followings, we 17 ignore the difference of keys between OLR and precipitation, unless special 18 attention is necessary. 19

As reported in Wheeler and Kiladis (1999), the activity of CCEWs has a strong seasonal dependence. For the annual average of equatorially symmet-

ric component, Fig.3(b) of Wheeler and Kiladis (1999) shows that signals 1 of the Kelvin wave type are strong, while signals of the westward inertio 2 gravity wave type are weak. The dominant wavenumber of the westward 3 inertio gravity wave type is larger than four. In addition to those, signals 4 of TD-type and also of the Rossby wave type exist, although dominant 5 wavenumber for the Rossby wave type is smaller than the cutoff wavenum-6 ber of the filters used in the analyses of the present paper. As for the sea-7 sonal dependence, Fig.5(b) and (d) of Wheeler and Kiladis (1999) indicate 8 that TD-type signals are much stronger in the northern summer, whereas 9 signals of the other types are stronger in the southern summer. The dom-10 inant wavenumber of the signals of the westward inertio gravity wave type 11 is from two to seven in the southern summer, and larger than seven in the 12 northern summer. Now, the meridional distribution of CONTROL SST is 13 relatively close to that of the southern summer than northern summer, we 14 would expect strong signals for K and WIG components but weak signals 15 for AD component in the results of the APE runs, if AD component could 16 be regarded as the correspondence of TD-type. Actually, as was described 17 in Section 4, most of the APE models are to some extent successful in pro-18 ducing abundant signals of K component. On the other hand signals of 19 WIG component appear clearly only in a limited models in the APE; those 20 are ECMWF05, LASG, and GSFC among the seven models that are in-21

tensively analyzed in this paper, and FRCGC and K1JAPAN among those
not intensively analyzed. As described so far, the reason for the variety of
representations of WIG component among the APE models, and hence the
reason for difference from the observational characteristics are unclear.

Most of the APE models produce abundant signals of AD component. 5 One might think that this contradicts the expectation above. But, one 6 should remind that AD component in the APE runs differs from TD-type 7 in Wheeler and Kiladis (1999) based on the following points. First, pre-8 cipitations at the off-equatorial latitudes in the APE runs are weak (Fig.4 9 in Blackburn et al, 2012a) because of the sharp peak of CONTROL SST 10 (Fig. 1). Off-equatorial precipitation is one of the necessary ingredient of 11 "TD" in the real atmosphere (Takayabu and Nitta, 1993). One cannot 12 expect strong appearance of TD-type disturbances in the APE runs with 13 CONTROL SST. Second, the key variable we chose to make the compos-14 ite structures of AD component is precipitation at the equator. In the 15 analyses presented in this paper, we focused on the disturbances associated 16 with precipitation events close to the equator. Off-equatorial signals that 17 may be corresponds to those of TD-type would be smeared out. In fact, 18 the composite precipitation distributions at the off-equatorial latitudes of 19 AD component are weak in all of the models (Fig. 22). Considering these 20 points, AD component in this study should not be regarded as the corre-21

spondence of TD-type, but should be related to "background" component,
 which previous studies on CCEWs such as Wheeler and Kiladis (1999) have
 not concerned yet.

The spatial structures of CCEWs have been a subject of a number of 4 investigations, such as Wheeler et al. (2000), Yang et al. (2007a, 2007b, 5 2007c) and other studies reviewed by Kiladis *et al.* (2009). It has been 6 established that the vertical structure of temperature anomalies associated 7 with the signals of the Kelvin wave type and the westward inertio grav-8 ity wave type is "boomerang" like (Fig.7 in Wheeler and Kiladis (1999) 9 for the Kelvin wave type, and Fig.23 for the westward inertio gravity wave 10 type), which can be interpreted as the internal waves emitted upward and 11 downward from the strong convective heating whose maximum is located 12 in the upper troposphere (e.g., Nitta and Esbensen, (1974); Houze, (1982); 13 Takayabu et al., (2010)) The longitudinal contrast of humidity in the lower 14 troposphere around the precipitation peak, i.e., more humid before convec-15 tion and drier after, is another important feature. Those structures are 16 reproduced in only a small number of models in the APE analyzed here; 17 ECMWF05, ECMWF07 and LASG are good for K component, and only 18 LASG is good for WIG component. The performance of FRCGC in repre-19 senting disturbances of the Kelvin wave type seems to be quite successful, as 20 is extensively described in Nasuno et al. (2008), but that for the westward 21

¹ inertio gravity wave type is not known.

As for the horizontal structures of CCEWs in the real atmosphere, those 2 for the Kelvin and Rossby wave types are extracted and investigated by 3 Yang et al. (2007c), where the difference of the structures between the 4 eastern and western hemispheres are considered. Kiladis et al. (2009) con-5 firm the major features of the composite structures by Yang *et al.* (2007c). 6 Consulting Fig.1 of Yang et al. (2007c), we can find that the structures 7 for K components in the APE runs examined here are closer to that in 8 the western hemisphere, considering the presence of significant meridional 9 wind perturbation in the lower troposphere and considerable rotational wind 10 component in the upper troposphere. Either of the structure of the Rossby 11 wave type for the western or the eastern hemisphere (Fig.5 and Fig.9 of 12 Yang et al., 2007c, respectively) is not similar to those for AD components 13 in most of the APE models presented here, since the structure of the Rossby 14 wave type contains a pair of distinct off-equatorial vortical cells in the lower 15 troposphere. As is noted earlier, the off-equatorlai low level rotational sig-16 nals can be identified only in a small number of models (AGUforAPE and 17 CSIRO). And even in these models, the locations of the maxima of vor-18 ticities are much closer to the equator compared with those in Yang et al. 19 (2007c). Finally, the horizontal structure for the westward inertio gravity 20 wave type is presented in Kiladis et al. (2009). Generally the structures 21

for WIG components in the APE runs examined here are close to that of
Kiladis *et al.* (2009).

Considering the difference between the definition of the Rossby wave 3 type in those papers and that of AD component in this paper, the differ-4 ence between the properties for the Rossby wave type and those for AD 5 component is trivial. As for the Rossby wave type, additional data analysis 6 focusing more sharply on the region of wavenumber frequency domain of 7 the Rossby wave type is required, which is left for a future study. The effect 8 on the appearances and the structures of CCEWs caused by the difference 9 of the meridional profile of SST in the real world and the CONTROL pro-10 file of the APE is an interesting issue. It would be useful to compare the 11 appearances and the structures of CCEWs that appear in the APE exper-12 iments but with the SST profiles other than CONTROL. However, this is 13 also left for a future study, because complete re-run of the models for those 14 SST profiles are indispensable in order to collect the necessary data. 15

It is interesting to note that LASG, which is equipped with the simplest cumulus parameterization scheme among the APE models, i.e., convective adjustment of Manabe *et al.* (1965), shows rather good performance in the representation of signals of WIG component in the wavenumber-frequency spectrum. It is better than the other intensely considered models in this paper, which are equipped with various kind of more complex cumulus schemes

in several aspects, and is probably comparable to FRCGC consulting the 1 distribution of signals which extend around the westward inertio gravity 2 wave modes shown in Fig. 4(h). Most of the APE models are tuned to 3 reproduce climatological states of the atmosphere. And hence it is under-4 standable that the disturbances of WIG component, which have short peri-5 ods and their relationship to the long-time and/or large-scale atmospheric 6 states is not direct, have not been a subject of extensive tuning. This situ-7 ation might have changed a lot since the execution of the APE, and models 8 of more recent generation may present much better performance. 9

6.3 Comparison with Convectively Coupled Equatorial Waves represented in previous modeling studies

Disturbances of the Kelvin wave type have been investigated in several 12 modeling studies including those with the aqua-planet setup (e.g., Frierson, 13 2007; Lee et al., 2003) or those with realistic surface boundary condition 14 (e.g., Lee et al., 2003; Suzuki et al., 2006; Frierson et al., 2010). The aim 15 of these studies is to investigate the responses of the representation of the 16 Kelvin wave type disturbances to the changes of the processes or the pa-17 rameters implemented in a model, and to improve the representation of the 18 Kelvin wave type in the model. The structures of the Kelvin wave type 19 presented in those studies, if successfully represented in the Hovmëllor plot 20

or wavenumber-frequency, share several aspects with observed disturbances 1 of the Kelvin wave type such as the "boomerang" like vertical structure of 2 temperature. Compared with the similarity among the structures of those 3 successful cases, the structures of K component in the APE runs described 4 in this paper exhibit quite wider variety. Intercomparison study of the dis-5 turbances of the Kelvin wave type somewhat similar to the present study 6 has been done in CMIP3 (Coupled Model Intercomparison Project phase 3) 7 by Straub *et al.* (2010). Although the comparison of the structures is, as in 8 the present paper, limited to a small number of models, considerable diver-9 sity is found both in the horizontal and in the vertical structures, again as 10 in the present paper. All of these past and present results suggest that there 11 is much room for improvement of the representation of the disturbances of 12 the Kelvin wave type. 13

¹⁴ So long as we know, disturbances of the westward inertio gravity wave ¹⁵ type and disturbances of the advective component in GCMs. have not been ¹⁶ investigated intensely, although there are studies on disturbances of the ¹⁷ Rossby wave type (e.g., Suzuki *et al.*, 2006; Yang *et al.*, 2009) and those of ¹⁸ TD-type in GCMs.

¹ 6.4 Other branches in the wavenumber-frequency space

With different specification of the SST profile, the space time structure 2 of equatorial precipitation varies as described in Blackburn et al. (2012b). 3 Still, most of the signals in wavenumber-frequency spectra can be classified 4 as Kelvin, WIG and AD components. However, relative power among the 5 three types of signals varies reflecting the change of space time structure 6 of precipitation responding to the change of SST profile. Here we mention 7 only two of the notable features observed in the runs with the SST profile 8 other than CONTROL, i.e., FLAT. 9

In FLAT experiment of ECMWF07, not only westward but but also 10 eastward inertio gravity wave signals appear distinctly. This may be un-11 derstandable by considering that the latitudinal width of the equatorial 12 precipitation region is much broader with the FLAT SST profile than with 13 the CONTROL SST; n=1 eastward inertio gravity wave mode, which has a 14 latitudinally more extended region of convergence than corresponding n=115 westward wave mode, can interact with moist convection more easily. Ac-16 tually, signals of the eastward inertio gravity wave type can be found in the 17 symmetric component of wavenumber-frequency spectrum of precipitation 18 in the latitudinal band of 10-20 degree (not shown). That is the latitude 19 of the off-equatorial peaks of convergence for n=1 eastward inertio gravity 20 wave mode, for example, see Fig.3 of Yang et al. (2003). However, the 21

reason is unclear why signals of the eastward inertio gravity wave type do
not appear in the FLAT experiment with the models other than ECMWF07
despite that most of them are also characterized with broad ITCZ.

We mentioned earlier the possible existence of disturbances of the east-4 ward inertio gravity wave type also in CONTROL of NCAR. We did not 5 perform detailed analysis on the off equatorial structure, so that no firm 6 conclusion is admitted presently. It may worth pointing out, however, that 7 the appearance of disturbances of the eastward inertio gravity wave type in 8 CONTROL of NCAR is consistent with that in FLAT of ECMWF07. They 9 are the cases with double ITCZ or broad ITCZ, which permit the coupling 10 between convective heating and wave motion not only at the equator but 11 also in the off-equatorial latitudes. Still, actual emergence of the coupling 12 is not simple, because, despite the fact that the ITCZs are broad or double 13 in some runs other than CTCL of NCAR and FLAT of ECMWF07, dis-14 turbances of the eastward inertio gravity wave type can not be identified 15 in those runs. In order to investigate these issues, further investigation is 16 necessary with complete datasets provided by re-run of models. 17

¹ 6.5 Relationship between the height of convective heating and

2

phase speed of disturbances

The vertical structures of convective heating for the three spectral fil-3 tered components are slightly different (Fig. 13, Fig. 20, Fig. 27) for all 4 models. If we compare them carefully, we can notice that, in all of the 5 models, the weighted centers of convective heating are located at the lower 6 altitudes for WIG, at the heigher altitudes for AD, and in between for K. 7 Interestingly, the above order follows the reverse of the magnitude of phase 8 velocity of the disturbances relative to the low level zonal wind. In other 9 words, if this is true, the altitude of the center of convective heating de-10 creases as the increase of the magnitude of intrinsic phase velocity. This 11 tendency of heating profile might be understandable if one recalls that the 12 development of parameterized moist convection requires a certain degree of 13 moisture accumulation, for which a certain length of time would be neces-14 sary. If the intrinsic frequency of a disturbance be shorter than its moisture 15 accumulation time scale, convective heating might be unable to respond. 16 The sensitivity of heating to the period of disturbance is similar to, but 17 slightly different from, the idea of "phase lagged wave-CISK" proposed by 18 Davies (1979), or "convective response time" discussed by several authors 19 (e.g. Emanuel, 1993; Emanuel et al., 1994; Lindzen, 2003). In phase-lagged 20 wave-CISK, phase difference between the longitudinal positions of heating 21

and low level upward motion is assumed to depend on the wave period. In 1 the effect of convective response time formulated by Emanuel (1993), the 2 intensity of heating is assumed to depend on the wave period. In the re-3 sults of the present study, there is a possibility that the vertical structures 4 of heating depend on the characteristic period of disturbances. This is an 5 interesting possibility which could lead to another way of eliminating the 6 "ultraviolet catastrophe" from the classical wave-CISK theory. However, 7 before going further, existence and structure of the dependence of heating 8 on the intrinsic period of disturbances in GCMs should be investigated more 9 carefully. Interaction between convection and circulation is a difficult issue 10 in general, and is even more intricate under the performance of a cumulus 11 parameterization scheme. The issue is left for future research. 12

¹³ 7. Concluding remarks

We have examined the APE results focusing mainly on the structures associated with equatorial precipitation activities in the subset of the APE participating models on which detailed time series of the model variables are available. The summary of results are presented in abstract so is not to be repeated here.

¹⁹ We should mention that the simple and idealized setup of the APE ²⁰ project has been quite successful in elucidating the similarities and differ-

ences of the equatorial precipitation structures in different models. However, 1 it is still quite difficult to explain what kind of differences in the choice of 2 implementation of physical processes are related to particular differences 3 of the composite structures. The source of difficulty is at least three-fold. 4 First, the different cumulus parameterizations contain different sets of in-5 ternal variables and the output variables. Meaningful comparison among 6 the behaviors of parameterizations is not a easy task. Second, partly due 7 to the difficulty noted above, we could not define appropriate datasets to 8 describe the behaviors of implementations of physical processes before the 9 call of the APE project. We could not obtain consistent datasets from the 10 participating groups. Third, as is almost always applicable to analysis of 11 atmospheric models, complex entangled interplay among various dynami-12 cal and physical processes in the models makes clear, simple interpretation 13 difficult in spite of the simple and unified external setup of the APE. 14

We can not be sure on to what extent the results of the present study can be applied to the behavior of precipitation features in more realistic setup. This is partly because we analyze only the subset of CONTROL runs, which is, in itself, a subset of the specifications of the APE. It should be bear in mind that the CONTROL case may not be most representative setup among the cases defined in the APE. For example, as described in Blackburn *et al.* (2011a) and the APE-ATLAS (Williamson et al. 2011), ITCZ precipi-

tation is too much concentrated at the equator and zonal mean zonal wind 1 of the upper troposphere around the equator is rather intense westerly in 2 most models. The former point may affect on many aspects of properties 3 of convectively coupled equatorial disturbances, and the latter point may 4 affect the intensity and characteristics of the interaction between the trop-5 ics and the mid-latitudes. It is clear that the present analysis should be 6 supplemented by analyses of other cases, i.e., FLAT, QOBS and PEAKED. 7 However, regrettably, the composite analysis of those cases requires time 8 series of three dimensional model variables and tendency data that were 9 not submitted on the most of the participating models. 10

Lastly, we comment on the necessity of "APE2", i.e., another execu-11 tion of aqua-planet experiment project. The numerical experiments for the 12 present APE by the participating groups were conducted in the period of 13 2002–2007. Some of the results of this study, namely the large degree of 14 diversity found in the properties of precipitation such as the intensities of 15 signals for K, WIG, and AD components and the vertical structures of 16 the composites for those three may originate from immaturity of the at-17 mospheric models in that period. The same can be claimed about other 18 diversity found among the different models described in APE-ATLAS and 19 Blackburn et al. (2011a, b). Because global atmospheric models have been 20 developing extensively in many aspects such as spatial resolution and var-21

ious processes of physics, it is worth repeating the APE in a basically the 1 same framework. It is particularly interesting to examine whether the cur-2 rent generation of atmospheric models will still exhibit diversity like shown 3 in this paper or not. In the possible repetition of the APE, it should be 4 important to collect more complete datasets on all of the cases; the time 5 series of the lower levels of the atmosphere are indispensable to examine the 6 tropical disturbances. Finally, it should be stressed that, not only to com-7 pare but also to interpret the results of experiments, thorough description 8 of numerical models is indispensable. It would be ideal that every partic-9 ipating group would provide the source code of the numerical model used 10 and interested members can re-run models of other groups. Such a deep 11 level of collaboration may not be established very easily, but will be very 12 fruitful for the advancement of modeling community. 13

14

Appendix

As mentioned in section 5.2.a, the composite structure of K component is associated with significant off-equatorial rotational signature. In Fig. 10, however, neither the latitudinal extent nor the structure of the rotational signature is evident. In this appendix, the upper tropospheric rotational features in the subtropical and extratropical latitudes are more explicitly presented in terms of stream function. The method of analysis is as follows:
(i) relative vorticity is calculated from the horizontal wind of the composite data for K component for each model, (ii) stream function is obtained
from the relative vorticity field through the inversion of spherical Laplacian
operator employing the spectral method.

Figure 29 show the stream function field. Since the structures are nearly antisymmetric about the equator, only those of the northern latitudes are plotted. Distinct rotational component is found in all models. For most of the models, the structure of stream function consists of a train of vortices with alternating signature along the latitudes of $\sim 25^{\circ}$; anticyclone is located at about the longitude of the precipitation maximum.

These vortical features resemble to some extent that obtained by Yang 11 (2007a, b, c), where disturbances of the n=1 Rossby wave type et al. 12 propagating eastward because of Doppler shift by the zonal mean westerly 13 wind are identified. However, we hesitate to identify the structure shown in 14 Figure 29 as that obtained by Yang et al. (2007a, b, c) based on the following 15 concerns. First, the peaks of the rotational structures of stream functions 16 obtained here are located at much higher latitudes compared with that of 17 n=1 Rossby mode of Yang et al. (2007a, b), although the difference could 18 be resulting from the difference magnitude of the ambient potential vorticity 19 gradient in the cases examined by Yang et al. (2007a, b,c) and that in the 20 CONTROL experiments of the APE. Second, the n=1 Rossby mode of Yang 21

¹ et al. (2007b, c) are found to be excited mainly by the convection located ² off-equatorial latitudes around $\sim 10^{\circ}$, where the precipitation is quite weak ³ in CONTROL experiments in all of the models in the APE (Blackburn ⁴ et al. 2012a). The disturbances of the APE runs have the features of mid-⁵ latitude Rossby waves trapped within the strong westerly jets of the APE ⁶ runs rather than the features of equatorial Rossby waves.

The existence of these vortical signatures and their commonality among 7 the different APE models suggest an existence of dynamical connection be-8 tween subtropical vortical anomalies and equatorial precipitation, i.e., equa-9 torial precipitation can force subtropical vortices, or vice versa. A key factor 10 that allows such connection between equatorial convection and subtropical 11 and/or mid-latitude vorticity is the zonal mean westerly wind covering all 12 latitudes in the upper troposphere. The emergence strong westerly jet is one 13 of the unique features of the CONTROL experiment of the APE (see Black-14 burn et al., 2011a). However, further investigation of underlying physics is 15 left for future research. 16

Fig. 29

17

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28		models



Fig. 1. Meridional distribution of sea surface temperature [K] in CONTROL experiment.



Fig. 2. Definition of spectral filters.



Fig. 3. Example Hovmëllor plots of equatorial precipitation of the APE runs for a duration of 100 days except for 30 days of (h) FRCGC. Horizontal axes represent longitude, and vertical axes represent time going up. Unit is kg m⁻² s⁻¹.



Fig. 4. Wavenumber-frequency spectra of precipitation at the equator. Unit is kg² m⁻⁴ s⁻². Horizontal axes represent zonal wavenumber from -30 to 30, and vertical axes represent frequency from 0 to 0.8 [day⁻¹]. The positive (negative) zonal wavenumber represents eastward (westward) propagation.



Fig. 5. Same as Fig.4 but for the intensity relative to the background level (Wheeler and Kiladis 1999, see text). The figure for FRCGC is not produced.



Fig. 6. (a) Variance of precipitation along equator for K, WIG, and AD components. Unit is $[(kg/m^2s)^2]$. (b) Same as (a), but for the values normalized by the total variance of precipitation.



Fig. 7. Scattering diagram showing the relationship between the average precipitation squared and total variance of precipitation along the equator. Unit is $[(kg/m^2s)^2]$. Circles indicate the sum of the variance of K, WIG, AD components, and squares indicate the total variance.



Fig. 8. Horizontal structures of composite anomalies of precipitation and wind vector at 925hPa for K component. Velocity scales for the unit vector and contour interval for precipitation are given to the left in [m/s] and [Kg/m²s], respectively.

K Composite: RAIN & uv925

K Composite : ϕ uv850



Fig. 9. Same as Fig.8 but for geopotential height and wind vector at 850hPa. Units for velocity scales and geopotential height are [m/s] and [m], respectively.





Fig. 10. Same as Fig.8 but for geopotential height and wind vector at 250hPa. Units for velocity scales and geopotential height are [m/s] and [m], respectively.

K Composite : T & (\mathbf{u},ω) at EQ.



Fig. 11. Vertical structures of composite anomalies of temperature, zonal wind and p-vertical velocity along the equator for K component. Velocity scales for the unit vector and contour interval for temperature are given to the left in ([m/s],[Pa/s]) and [K], respectively.



K Composite : Q & (u,ω) at EQ.

Fig. 12. Same as Fig.11 but for mixing ratio (unit is [kg/kg]).

K Composite : DT_CONV at EQ.



Fig. 13. Vertical structures of composite anomalies of parameterized convection heating along the equator for K component. Units is [K/s].







Fig. 14. Same as Fig.13 but for resolved cloud heating.



WIG Composite : RAIN & uv925

Fig. 15. Same as Fig.8 but for WIG₁₀₀ mponent.



Fig. 16. Same as Fig.9 but for WIG₁₀₂ mponent.

WIG Composite : ϕ **uv850**





Fig. 17. Same as Fig.10 but for WIG component. 103



WIG Composite : T & (u,ω) at EQ.

Fig. 18. Same as Fig.11 but for WIC $_{104}$ component.



WIG Composite : Q & (u,ω) at EQ.

Fig. 19. Same as Fig.12 but for WIC $_{105}$ component.





Fig. 20. Same as Fig.13 but for WIG060mponent.


210 (degrees

Fig. 21. Same as Fig.14 but for WIG070mponent.

vel)



AD Composite :RAIN & uv925

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AD Composite : ϕ uv850

Fig. 23. Same as Fig.9 but for AD component. 109





Fig. 24. Same as Fig.10 but for AD component.



AD Composite : T & (u,ω) at EQ.

Fig. 25. Same as Fig.11 but for AD₁component.



AD Composite : Q & (u,ω) at EQ.

Fig. 26. Same as Fig.12 but for AD_{1} component.





Fig. 27. Same as Fig.13 but for AD programment.



Fig. 28. Same as Fig.14 but for AD popponent.



Fig. 29. Horizontal structures of composite anomalies of stream function at 250hPa. for K component. Contour interval is 2×10^{-5} [m] for ECMWF05 and LASG, and is 10^{-6} [m] for the other models.

K Composite : $\psi 250$

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Table 1. Participating models

GROUP	MODEL	HORIZONTAL	NO.OF	DEEP	COM-
SYMBOL		RESOLUTION	LEVELS	CONVECTION	POSITE
AGUforAPE	AFES	T39	48	Emanuel	yes
CGAM	HadAM3	$3.75^{\circ} \ge 2.5^{\circ}$	30	Gregory-Rawntree	-
CSIROstd	CCAM-05e	$\sim 210 \mathrm{km}$	18	McGregor	yes
CSIROold	CCAM-05a	$\sim 210 \mathrm{km}$	18	McGregor	-
DWD	GME	$\sim 1^{\circ}$	31	Tiedtke	-
ECMWF05	IFS cy29r2	T159	60	Bechtold et al. 2004	yes
ECMWF07	IFS cy32r3	T159	60	Bechtold et al. 2008	yes
FRCGC	NICAM	$\sim 7 { m km}$	54	None	-
GFDL	AM2.1	$2.5^{\circ} \ge 2^{\circ}$	24	RAS	-
GSFC	NSIPP-1	$3.75^{\circ} \ge 3^{\circ}$	34	RAS	yes
K1JAPAN	CCSR/NIES 5.7	T42	20	Pan-Randall	-
LASG	SAMIL	R42	9	Manabe	yes
MIT	MIT-GCM	$\sim \! 280 \mathrm{km}$	40	RAS	-
MRI	MRI/JMA98	T42	30	Randall-Pan	-
NCAR	CCSM-CAM3	T42	26	Zhang-McFarlane	yes
UKMOn48	pre-HadGAM1	$3.75^{\circ} \ge 2.5^{\circ}$	38	Gregory 1999	-
UKMOn96	pre-HadGAM1	$1.875^\circ \ge 1.25^\circ$	38	Gregory 1999	_